IBM DOCKET:

FIS920030423US1

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

inventor:	Christopher P. Ausschnitt	) EXAMINER:	
SERIAL NO.:		) ART UNIT:	
FILING-DATE:		DATE:	January 23, 2004
FOR:	Method of Patterning Process Metrology Based on the Intrinsic Focus Offset	)	

# INFORMATION DISCLOSURE STATEMENT

Mail Stop Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.56, 1.97 and 1.98, the following items are made of record to assist the Patent & Trademark Office in its examination of this application and is, in the opinion of the attorney designated below for applicant(s), information relevant to the closest prior art of which that person is aware. The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified exists.

PATENT APPLICATION SERIAL NO.	INVENTOR	FILING DATE
09/765,148	Ausschnitt	January 17, 2001

FOREIGN PAT. NO.

**COUNTRY** 

**PUBLICATION DATE** 

WO 03/001297 A2

**PCT** 

January 3, 2003

A copy of each of the foregoing items and Form PTO 1449 are enclosed herewith.

Respectfully submitted,

By:

Attorney: Peter W. Peterson

Reg. No.: 31,867

DeLIO & PETERSON, LLC 121 Whitney Avenue New Haven, CT 06510 (203) 787-0595

ibmf100421000suppids1

EXPRESS MAIL NO.: ER 0460626905

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF

DEPOSIT AS THE FILE DATE.

DATE OF DEPOSIT:\_

BY SIGNATURE & DATE:

13/04

Sh	eet	1	of	2	
"	ıccı		vi		

FORM PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: FIS920030423US1	SERIAL NO.:			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT: Christopher P. Aus	schnitt			
(Use (37 CFR 1.98(b))	e several sheets if necessary)	FILING DATE:	GROUP:			

#### REFERENCE DESIGNATION

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	5,953,128	09/14/1999	Ausschnitt et al.			
	AB	5,965,309	10/12/1999	Ausschnitt et al.		•	
•	AC	5,976,740	11/02/1999	Ausschnitt et al.			
	AD	6,004,706	12/21/1999	Ausschnitt et al.			
	AE	6,027,842	02/22/2000	Ausschnitt et al.			
	AF	6,128,089	10/03/2000	Ausschnitt et al.			
	AG					<u>-</u>	·
	AH						
	Al						
	AJ						
	AK			·			

### FOREIGN PATENT DOCUMENTS

			PUBLICATION	COUNTRY OR		SUB-	TRANSI	ATION
		DOCUMENT NUMBER	DATE	PATENT OFFICE	CLASS	CLASS	YES	NO
***	AL			· · · · · ·				
	AM				- 1 1-1			
	AN						·	
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AC	2			
AR	t			
AS				
EXAMINER		DATE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ibmf100421000ids2

### SUPPLEMENTAL INFORMATION DISCLOSURE

Sheet \_ 2 \_ of \_ 2

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO.: FIS920030423US1  SERIAL NO.:									
SUP		NTAL INFORMATION DIS		SURE	APPLICANT: Christopher P. Ausschnitt							
(37 CFR 1.98	(Use several sheets if necessary) (37 CFR 1.98(b))			FILING DATE:			(	GROUP:				
REFERENC	E DES	IGNATION	U.S	. PATENT [	00	DCUMENTS		•			-	
EXAMINER INITIAL		PATENT NUMBER .	ISSUE DATE		PATENTEE		-	CLASS		SUB- CLASS.		DATE IF OPRIATE
	AT											
	AU				T				寸	*,*		
	AV				T				$\dashv$			
-	AW		<del>                                     </del>	-	T				$\dashv$		1	
	AX				T			-	_			
	AY		-		T				寸	· .,		
	AZ				t		_		寸			
	.!	<u> </u>			1			L			l	
		U.S. PATENT APPLICATION NO.	P	UBLICATION DATE		INVENTOR						
	BA	S/N 09/765,148	01	/17/2001	1	Ausschnitt						
	ВВ				Γ				丁			
	BC				Г			4.1	$\exists$			
	BD				ı				寸			
	•		FΩ	REIGN PAT	FN	NT DOCUMENTS					•	
		1	10	KLIGITIAI	<u></u>	DOCOMENTS			Т			·
					<u>N</u>	COUNTRY-OR				SUB		ATION-
		DOCUMENT NUMBE		DATE		PATENT OFFICE	_	CLASS	Ľ	CLASS	YES	NO
	BE	WO 03/001297 A2		01/03/2003		PCT			<u> </u>			
	BF											
	BG											
		OTHER ART (Ir	nclu	ding Author	r, <sup>·</sup>	Title, Date, Pertin	en	ıt Pag	es,	etc.)		
	вн											
										<del></del>	<del></del>	
-	ВІ											
	ВЈ											
EXAMINE	R	J			D	DATE CONSIDERE	D				- 5-16	
FXAMINER	: Initia	Il if reference conside	red.	whether or i	noi	t citation is in confe	orn	nance	wit	h MPEP	609. D	raw line
		if not in conformance										

applicant.
ibmf100421000suppids2